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FISH & RICHARDSON, PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			EXAMINER BOUTAH, ALINA A	
			ART UNIT 2143	PAPER NUMBER

DATE MAILED: 06/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/471,964

Applicant(s)

BICKERSTAFF ET AL.

Examiner

Alina N Boutah

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 06 March 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-5,11-15,21-25 and 31-61 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5,11-15,21-25 and 31-61 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                              | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)          | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____. | 6) <input type="checkbox"/> Other: _____.                                   |

## DETAILED ACTION

### *Response to Amendment*

This action is in response to Amendment received March 6, 2006. Claims 1-5, 11-15, 21-25, 31-61 are pending in the present application.

### *Claim Rejections - 35 USC § 101*

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 21-25, 46-50, 59-61 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Applicant has claimed a computer-readable medium with no support in the specification for such terminology. In current practice office has seen use of transmission media for example carrier waves, as being defined as a computer-readable medium. By current office practices and interim guidelines as provided below, transmission media are deemed non-statutory under 35 USC 101.

The recent interim 101 guidelines states:

#### **(c) Electro-Magnetic Signals**

Claims that recite nothing but the physical characteristics of a form of energy, such as a frequency, voltage, or the strength of a magnetic field, define energy or magnetism, per se, and as such are nonstatutory natural phenomena. O'Reilly, 56 U.S. (15 How.) at 112-14. Moreover, it does not appear that a claim reciting a signal encoded with functional descriptive material falls within any of the categories of patentable subject matter set forth in § 101.

First, a claimed signal is clearly not a "process" under § 101 because it is not a series of steps. The other three § 101 classes of machine, compositions of matter and manufactures "relate to structural entities and can be grouped as 'product' claims in order to contrast them with process claims." 1 D. Chisum, Patents § 1.02 (1994). The three product classes have traditionally required physical structure or material.

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"The term machine includes every mechanical device or combination of mechanical device or combination of mechanical powers and devices to perform some function and produce a certain effect or result." Corning v. Burden, 56 U.S. (15 How.) 252, 267 (1854). A modern definition of machine would no doubt include electronic devices which perform functions. Indeed, devices such as flip-flops and computers are referred to in computer science as sequential machines. A claimed signal has no physical structure, does not itself perform any useful, concrete and tangible result and, thus, does not fit within the definition of a machine.

A "composition of matter" "covers all compositions of two or more substances and includes all composite articles, whether they be results of chemical union, or of mechanical mixture, or whether they be gases, fluids, powders or solids." Shell Development Co. v. Watson, 149 F. Supp. 279, 280, 113 USPQ 265, 266 (D.D.C. 1957), aff'd, 252 F.2d 861, 116 USPQ 428 (D.C. Cir. 1958). A claimed signal is not matter, but a form of energy, and therefore is not a composition of matter.

The Supreme Court has read the term "manufacture" in accordance with its dictionary definition to mean "the production of articles for use from raw or prepared materials by giving to these materials new forms, qualities, properties, or combinations, whether by hand-labor or by machinery." Diamond v. Chakrabarty, 447 U.S. 303, 308, 206 USPQ 193, 196-97 (1980) (quoting American Fruit Growers, Inc. v. Brogdex Co., 283 U.S. 1, 11, 8 USPQ 131, 133 (1931), which, in turn, quotes the Century Dictionary). Other courts have applied similar definitions. See American Disappearing Bed Co. v. Arnaelsteen, 182 F. 324, 325 (9th Cir. 1910), cert. denied, 220 U.S. 622 (1911). These definitions require physical substance, which a claimed signal does not have.

Congress can be presumed to be aware of an administrative or judicial interpretation of a statute and to adopt that interpretation when it re-enacts a statute without change. Lorillard v. Pons, 434 U.S. 575, 580 (1978). Thus, Congress must be presumed to have been aware of the interpretation of manufacture in American Fruit Growers when it passed the 1952 Patent Act.

A manufacture is also defined as the residual class of product. 1 Chisum, § 1.02[3] (citing W. Robinson, The Law of Patents for Useful Inventions 270 (1890)).

A product is a tangible physical article or object, some form of matter, which a signal is not. That the other two product classes, machine and composition of matter, require physical matter is evidence that a manufacture was also intended to require physical matter. A signal, a form of energy, does not fall within either of the two definitions.

Hence, claim 21 is rejected under 35 USC 101 as being non-statutory. Dependent claims 22-25, 46-50 and 59-61 are also rejected for being dependent on rejected claim.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 11, and 21 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter, which was not

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described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The specification fails to teach “filtering out selected records from the server log” as claimed.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-5, 11-15, 21-25, 31-38, 40-44, 46-49, 51, 54, 55, 58 and 59 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haggard et al. in view of USPN 6,317,787 issued to Boyd et al. in further view of Network Working Group Request for Comments: 1739 submitted by Kessler et al.

(Amended) Regarding claims 1, 11, and 21, Haggard et al. teach a method (claim 1), a system (claim 11), and a computer program (claim 21) for real-time measurement of the performance of communications on a large area network between a selected server and a plurality of users at client machines, based upon actual user experience, including:

accessing a server log having records of actual user access to the selected server

(Abstract);

aggregating records from the server log into a database (col. 7, lines 22-44);

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performing at least one statistical analysis of each time bin on each aggregate slot (col. 7, lines 22-44); and

outputting the results of such statistical analysis as an indication of actual usage by users (Abstract; col. 2, lines 51-67 – col. 3, lines 1-6; col. 7, lines 23-44; figure 5).

However, Haggard et al. fail to explicitly teach: accessing a server log having records indicative of routings through nodes of the network of actual user access to the selected server, wherein at least one of the nodes is part of a communication path connecting one of the client machines to the selected server; filtering out selected records from the server log; and aggregating records from the server log into a plurality of aggregate slots, each slot having at least one time bin which represents an interval of time, based on an aggregation method; and performing at least one statistical analysis separately of each time bin on each aggregate slot.

Kessler et al. teach accessing a server log having records indicative of routings through nodes of the network of actual user access to the selected server, wherein at least one of the nodes is part of a communication path connecting one of the client machines to the selected server and outputting the access-to-server result (Section 2.2 PING, and Section 2.4 TRACEROUTE).

Boyd et al. teach: aggregating records from the server log into a plurality of aggregate slots, each slot having at least one time bin which represents an interval of time, based on an aggregation method (figure 5; col. 1, lines 27-35; col. 2, lines 5-11; col. 3, lines 47-59; col. 8, lines 37-42); filtering out selected records from the server log (figures 6 and 7, no. 64); and performing at least one statistical analysis separately of each time bin on each aggregate slot (col. 3, lines 47-59; col. 4, lines 10-25).

At the time the invention was made, it would have been obvious to one of ordinary skill in the art to access a server log having records indicative of routings through the network of actual user access in order to calculate and monitor throughput of the network, and aggregate records into a plurality of aggregate slots having time bin and analyzing the slots separately in order to identify trends, statistics and other information regarding traffic data (Boyd, col. 4, lines 18-20), therefore, facilitating in analyzing user's experience on the network.

Regarding claims 2, 12, and 22, Kessler et al. teach accessing a server log having records indicative of routings through nodes of the network of actual user access to the selected server, wherein at least one of the nodes is part of a communication path connecting one of the client machines to the selected server and outputting the access-to-server result (Section 2.2 PING, and Section 2.4 TRACEROUTE).

Regarding claims 3, 13, and 23, Haggard et al. teach the method of claim 1, the system of claim 11, and the computer program of claim 21, further including generating an event notification if a selected statistical analysis value is abnormal (figures 6A-B; col. 7, lines 61-67 – col. 8, lines 1-16).

Regarding claims 4, 14, and 24, Haggard et al. teach the method of claim 1, the system of claim 11, and the computer program of claim 21, further including selecting the aggregation method from a set of aggregation methods (col. 7, lines 23-44).

Regarding claims 5, 15, and 25, Haggard et al. teach the method of claim 1, the system of claim 11, and the computer program of claim 21, wherein the aggregation method includes aggregation by log-file record column data value for each record from the server log (col. 6, lines 61-67 – col. 7, lines 1-15).

Regarding claim 31, Haggard fails to teach a method as in claim 1, wherein said statistical analysis determines time for specified user access relative to a specified interval, and sorts said user access according to a number of times that the application exceeds said interval. Boyd teaches said statistical analysis determining time for specified user access relative to a specified interval, and sorts said user access according to a number of times that the application exceeds said interval (col. 2, lines 12-29; col. 6, lines 56-54). At the time the invention was made, one of ordinary skill in the art would have been motivated to combine the teaching of Boyd with the teaching of Haggard by enabling the statistical analysis to determine time for specified user access and sort said user access according to a number of times that the application exceeds said interval in order to identify trends, statistics and other information regarding traffic data (col. 4, lines 18-20), therefore, facilitating in analyzing user's experience on the network.

Regarding claims 32, 40, and 46 Haggard fails to teach a method as in claim 1, wherein said server log includes a time stamp indicating when a record was formed, a client IP address, a time taken to complete transmission, and a size of the transmission. Boyd teaches said server log including a time stamp indicating when a record was formed, a client IP address, a time taken to complete transmission, and a size of the transmission (figure 3A). At the time the invention was



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made, one of ordinary skill in the art would have been motivated to enable said server log to include a time stamp indicating when a record was formed, a client IP address, a time taken to complete transmission, and a size of the transmission in order to identify trends, statistics and other information regarding traffic data (col. 4, lines 18-20), therefore, facilitating in analyzing user's experience on the network.

Regarding claims 33 and 41, Haggard fails to teach a method as in claim 32, wherein said server log is formed by adding new data entry to the server log, and said server log is closed to further data entry prior to said performing. Boyd teaches said server log being formed by adding new data entry to the server log, and said server log is closed to further data entry prior to said performing (figures 5-7). At the time the invention was made, one of ordinary skill in the art would have been motivated to for said server log by adding new data entry to the server log in order to collect new information so that it can be analyzed, and closing the server log prior to said performing in order prevent new information which could potentially effect statistical analysis of the traffic data.

Regarding claims 34, 42 and 47, Haggard fail to expressly teach a method as in claim 32, wherein said aggregating comprises determining a geographic location from the IP address, and aggregating IP addresses having a specified relationship with a specified geographical location. Boyd teaches said aggregating comprises determining a geographic location from the IP address, and aggregating IP addresses having a specified relationship with a specified geographical location (col. 3, lines 48-59; col. 4, lines 26-45; col. 5, lines 66-67 – col. 6, lines 1-10). At the

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time the invention was made, it would have been obvious to one of ordinary skill in the art to determine a geographic location from the IP address, and aggregating IP addresses having a specified relationship with a specified geographical location in order to analyze and produce statistical reports and summaries by way of user activity (col. 4, lines 64-67 – col. 5, lines 1-5).

Regarding claim 35, Haggard fails to teach a method as in claim 32, further comprising aggregating said time bins into chronological order and determining trends among said time bins. Boyd teaches aggregating said time bins into chronological order and determining trends among said time bins (Abstract; col. 6, lines 42-55). At the time the invention was made, one of ordinary skill in the art would have been motivated to aggregate said time bins into chronological order in order to facilitate the analyzer in producing statistics and other information regarding traffic data (col. 4, lines 18-20), therefore, facilitating in analyzing user's experience on the network.

Regarding claim 36, Haggard fail to teach a method as in claim 32, further comprising using said information to compute byte density, transfer rate, and error fraction. Boyd teaches using said information to compute byte density, transfer rate, and error fraction (table 1, col. 5, lines 30-44). At the time the invention was made, one of ordinary skill in the art would have been motivated to compute byte density, transfer rate, and error fraction in order to identify trends, statistics and other information regarding traffic data (col. 4, lines 18-20), therefore, facilitating in analyzing user's experience on the network.

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Regarding claims 37, 43 and 48, Haggard fails to teach a method as in claim 32, wherein said statistical analysis is an assessment of performance related measurement against a geographical location of a client. Boyd teaches said statistical analysis being an assessment of performance related measurement against a geographical location of a client (col. 3, lines 48-59; col. 4, lines 26-45; col. 5, lines 66-67 – col. 6, lines 1-10). At the time the invention was made, one of ordinary skill in the art would have been motivated to enable said statistical analysis being an assessment of performance related measurement against a geographical location of a client in order to facilitate in analyzing and producing statistical reports and summaries by way of user activity.

Regarding claims 38, 44 and 49, Haggard fails to teach a method as in claim 32, wherein said statistical analysis is an assessment of a route traversed during use of the network application by an end user. Boyd teaches said statistical analysis being an assessment of a route traversed during use of the network application by an end user (col. 3, lines 48-59; col. 4, lines 26-45; col. 5, lines 66-67 – col. 6, lines 1-10). At the time the invention was made, one of ordinary skill in the art would have been motivated to enable said statistical analysis as being an assessment of a route traversed during use of the network application in order to facilitate in analyzing and producing statistical reports and summaries by way of user activity.

Regarding claims 51, 55, and 59, Haggard et al. fail to teach the method of claim 34, the system of claim 11, and the computer program of claim 21, the method of claim 6, the system of

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claim 16, and the computer program of claim 26, wherein determining geographical or source information for each record includes:

defining a database comprising large area network address blocks having geographical or source information;

comparing an address field in each record to the address blocks in the database; and

associating with each record the geographical or source information from an address block matching the address field of the record.

Boyd et al. teach: defining a database comprising large area network address blocks having geographical or source information (col. 4, lines 40-45).

Although Boyd et al. do not expressly teach comparing an address field in each record to the address blocks in the database; and associating with each record the geographical or source information from an address block matching the address field of the record, Boyd et al. teach a method of using analysis results collected into a log file or database for building geographic and other summaries (col. Lines 40-45). In order to determine geographical or source information, it is obvious that an address (e.g. IP address) can be somehow compared to a pre-defined address in a database that contains geographical or source information, once found, the addresses can be associated together, thus providing a geographic information.

At the time the invention was made, it would have been obvious to one of ordinary skill in the art to combine the teaching of Boyd and Haggard in order to determine geographical or source information in order to analyze and produce statistical reports and summaries by way of user activity (col. 4, lines 64-67 – col. 5, lines 1-5).

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Regarding claims 54 and 58, Haggard et al. teach the system of claim 11, and the computer program of claim 21, further including: selecting an aggregation method to aggregate records (col. 7, lines 23-44). However, Haggard et al. fail to teach:

determining geographical or source information for each record; and

selecting the aggregation method to aggregate records based on such geographical or source information.

Boyd et al. teach determining geographical or source information for each record (col. 3, lines 48-59; col. 4, lines 26-45; col. 5, lines 66-67 – col. 6, lines 1-10). At the time the invention was made, it would have been obvious to one of ordinary skill in the art to select the aggregation method to aggregate records based on source information in order to analyze and produce statistical reports and summaries by way of user activity (col. 4, lines 64-67 – col. 5, lines 1-5).

Claims 39, 45, 50, 53, 57 and 61 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haggard et al. in view of Boyd, in view of Kessler et al., in further view of USPN 4,967,345 issued to Clarke et al.

Regarding claims 39, 45 and 50, Haggard fails to teach a method as in claim 1, further comprising determining a new path based on said results of said statistical analysis. Clarke teaches determining a best route through a network from one node to another (Abstract; col. 2, lines 4-11). At the time the invention was made, one of ordinary skill in the art would have been motivated to combine the teaching of Haggard, Boyd, and Clerk) in order to allow the administrator to monitor and regulate network traffic, thus improving the network communications performance.

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Regarding claims 53, 57, and 61, Haggard et al. teach the method of claim 1, the system of claim 11, and the computer program of claim 21, including a statistical analysis of records from a server log and responding to performance data that surpasses an associated threshold (Abstract).

However, Haggard et al. fail to further teach:

determining exit routing paths from each selected server based on the records from the server log;

determining a best performing exit route based on the statistical analysis of records from the server log;

biasing incoming and outgoing communications with respect to each server to use the determined best performing exit route.

Clarke et al. teach determining a best route through a network from one node to another (Abstract; col. 2, lines 4-11). At the time the invention was made, it would have been obvious to one of ordinary skill in the art to combine the teaching of Clark et al. (best route) and the teaching of Haggard et al. (records from server log) in order to allow the administrator to monitor and regulate network traffic, thus improving the network communications performance.

Claims 52, 56, and 60 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haggard et al. in view of Boyd, in view of Kessler, in further view of USPN 5,946,679 issued to Ahuja et al.

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Regarding claims 52, 56 and 60, Haggard et al. fail to teach the method of claim 7, the system of claim 17, and the computer program of claim 27, wherein comparing an address field in each record to the address blocks in the database includes:

defining an array of binary trees for the address blocks in the database, each address block within a binary tree within an array element being masked by a corresponding unique subnet mask value;

masking each address field in each record by a unique subnet value corresponding to a selected array element;

comparing each masked address field to an address field of the address blocks within the binary tree of the selected array element;

outputting selected fields of any matching address block; and

otherwise, continuing the step of comparing with a next selected array element until a match is found or all array elements have been compared.

Ahuja et al. teach:

defining an array of binary trees for the address blocks in the database, each address block within a binary tree within an array element being masked by a corresponding unique subnet mask value (col. 3, lines 10-16);

although Ahuja et al. do not explicitly teach (k) masking each address field in each record by a unique subnet value corresponding to a selected array element, it is well known in the art that all network addresses such as IP address has a subnet mask associated with it;

comparing each masked address field to an address field of the address blocks within the binary tree of the selected array element (col. 3, lines 15-20);

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outputting selected fields of any matching address block (col. 3, lines 17-20); and  
otherwise, continuing the step of comparing with a next selected array element until a  
match is found or all array elements have been compared (col. 3, lines 17-20).

At the time the invention was made, it would have been obvious to one of ordinary skill  
in the art to combine the teachings of Haggard, Boyd and Ahuja in order to facilitate  
geographical information determination, thus facilitating user's usage statistical analysis.

### ***Response to Arguments***

Applicant's arguments have been considered but they are not found persuasive.  
Applicant has amended independent claims to recite: "filtering out selected records from the server  
log" as previously recited in dependent claims 2, 12 and 22. The amendment has not been  
considered because this limitation is not taught in the specification. Applicant is hereby  
requested to point out exactly where this is being taught in the reply to this action in order to  
further advance the prosecution of this application.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the  
examiner should be directed to Alina N. Boutah whose telephone number is 571-272-3908. The  
examiner can normally be reached on Monday-Friday (9:00 am - 5:00 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's  
supervisor, David A. Wiley can be reached on 571-272-3923. The fax phone number for the  
organization where this application or proceeding is assigned is 571-273-8300.

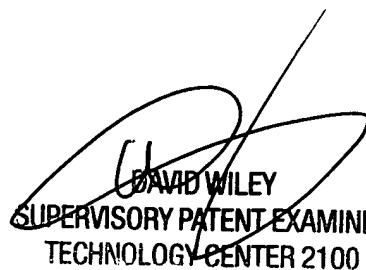


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SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100